J.P.Morgan

J.P Morgan Chase Bank, N.A., India Branches

Process of claiming the unclaimed deposit / activating inoperative account

March 2025

Process of claiming the unclaimed deposit / activating the inoperative account

In order to reactivate an inoperative account, customer will have to provide fresh Know Your Customer (KYC) document, along with the relevant documents as listed below. This is an indicative list of documents and is subject to change as per the applicable regulations issued from time to time. Please reach out to your relationship manager or client service representative if you have questions.

- Annexure 1 List of Documents for KYC
- Annexure 2 Self-Certification Form CRS E
- Annexure 3 Form W-8BEN-E
- Annexure 4 Know Your Customer (KYC) Application Form (Legal Entity/ Other than Individuals)
- Annexure 5 Know Your Customer (KYC) Application Form (Related Persons)
- Annexure 6 LEI Instructions

In order to claim a refund on an unclaimed deposit including outstanding demand drafts transferred to Depositor Education and Awareness Fund (DEAF), customer will have to also provide the following request letter.

• Annexure 7 – DEAF refund – claim letter

Requirements					
	Documents for Know Your Customer (KYC)				
No.	Document				
	Ownership Structure				
1	- Please provide a certified full ownership chart with %, up to the ultimate parent company entity, and including any/ all shareholders / Ultimate Beneficial Owner(s) (UBOs) of 10% or more. (Where no natural person is identified above, then the person holding Senior managing official in the entity becomes the BO. Example: Senior Official such as C-suite individuals. Additionally then we would require PAN / Adhaar / Financial Status like estimated Networth document of Senior managing official / UBO will be required)				
2	<u>List of Directors - Please confirm if we can utilize from MCA ?</u>				
3	<u>List of Senior Managers - Please provide a list of Senior Managers</u>				
4	PAN Card				
	- Please certify the document following below guidelines				
5	Certificate of Incorporation				
	- Please certify the document following below guidelines				
6	Memorandum and Articles of Association				
	- Please certify the document following below guidelines				
7	Board Resolution				
	- Please provide a BR Certified by Company Secretary or 2 Directors or Signatories				
	Signatories KYC Document				
	For Indian nationals: PAN + Any 1 of Adhaar/Passport/Driving License + Latest Photo + Specimen Signatures				
	<u>OR</u>				
8	For Foreign Nationals:				
	1) Passport (containing address) / Driving License (containing address) or Passport/Driving License + Utility Bill not older than 2 months (notarized by public notary) 2) Kindly confirm over email that these signatories do not have any PAN nor taxable income in India requiring such person to obtain a PAN and/or have not entered into				
	transactions covered in Rule 114B in India.				
9	CRS & W8BENE Forms				

10 <u>CKYC forms-</u> Required for both entity and Individuals (all Authorized signatories & UBO)

Request you to please provide response to below queries as these can be closed via email confirmation:

- Registered address Kindly confirm if the registered address on MCA is valid to be considred in our records
- Physical Address: Please confirm over email that the registered address and physical address are the same. In case if the physical address is different, we will require the evidence for the same. (e.g. GST certificate/utility bill within 2 months)
 - Mailing Address Please confirm if there is any other mailing address apart from Registered / Physical Address

Certification Guidelines*

Company documents need to be certified by "Any whole time Director OR Company Secretary OR individuals authorized by Board Resolution" and should include the following-

- I. A sentence such as "I certify this is a true copy of the original / Certified True Copy" or language to that effect
- b. Signature of the certifier
- c. Full name of certifier
- d. Job role / Professional title
- e. Date of certification

Individuals KYC documents to be OSV'd by JPMC Employee . If foreign Nationals then we can accept Notarized documents or OSV'd by JPMC Employee of that location.

Entity Tax Residency

Self-Certification Form CRS - E

December 2016

Please read these instructions before completing the form.

Regulations based on the Organisation for Economic Cooperation and Development ("OECD") Common Reporting Standard ("CRS") require Financial Institutions ("FIs") to collect and report certain information about an account holder's tax residency. If the account holder's tax residence is located outside the country where the FI maintaining the account is located, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to the tax authorities in the country where the FI is located and they may exchange this information with tax authorities of another jurisdiction or jurisdictions pursuant to intergovernmental agreements to exchange financial account information.



How to complete this form

Who should complete this form?

Please complete this form where you need to self-certify on behalf of an entity account holder.

- If you are an individual account holder or sole trader or sole proprietor do not complete this form. Instead please complete an "Individual tax residency self-certification form".
- For joint or multiple account holders please complete a separate form for each account holder.

If the Account Holder is a U.S. tax resident under U.S. law, you should indicate that you are a U.S. tax resident on this form and you also need to complete and provide an IRS W-9 form. For more information on tax residence, please consult your tax adviser or the information at the following link: <u>OECD automatic exchange of information portal.</u>¹

Where the Account Holder is a Passive Non Financial Entity ("NFE"), or an Investment Entity located in a Non-Participating Jurisdiction managed by another Financial Institution: Please provide information on the natural person(s) who exercise control over the Account Holder (individuals referred to as "Controlling Person(s)") by completing a "Controlling Person tax residency self-certification form" for each Controlling Person. This information should be provided by all Investment Entities located in a Non-Participating Jurisdiction managed by another FI.

You should indicate the capacity in which you have signed in Part 4 (e.g., as custodian or nominee of an account on behalf of the account holder, or under a signatory authority or power of attorney).

1 http://www.oecd.org/tax/automatic-exchange/

Understanding the Terminology

You can find summaries of defined terms such as an "Account Holder", entity classifications such as "Financial Institution" and "International Organisation" and other terms, in the Appendix. For additional information on overcoming common form rejection reasons please refer to the following link https://www.jpmorgan.com/directdoc/assist-completion-CRS-self-certification.pdf

Validity of form and No Tax Advice notice

This form is intended to request information consistent with local law requirements.

This form will remain valid unless there is a change in circumstances relating to information, such as the Account Holder's tax status or other mandatory field information that makes the form incorrect or incomplete. In that case you must notify us and provide an updated self-certification.

As a financial institution, we are not allowed to give tax advice. Your tax adviser may be able to assist you in answering specific questions on this form. Your domestic tax authority can provide guidance regarding how to determine your tax status.

More information on the rules

You can find out more information, including a list of jurisdictions that have signed agreements to automatically exchange information, along with details about the information being requested, on the OECD automatic exchange of information portal at http://www.oecd.org/tax/automatic-exchange/.

Mandatory fields are marked with *

Entity tax residency self-certification form (CRS – E)

Please complete parts 1-3 by typing the details into the form or using BLOCK CAPITALS if completing by hand. Mandatory fields are marked with *.

Part 1 - Identification of the Account Holder	
A: Legal Name of Entity/Branch*	
B: Country of incorporation or organisation*	
C: Current Residence Address*	
Line1 House/Apt/Suite, Name, Number, Street	
Line 2 Town/City/, Province/County/State	
Country	Postal Code/Zip Code
D: Mailing Address (please only complete if different from	your current residence address above)
Line1 House/Apt/Suite, Name, Number, Street	
Line 2 Town/City/, Province/County/State	
Country	Postal Code/Zip Code

Part 2* - Entity Type

Please classify the Account Holder by selecting one of the following. Further information on definitions can be found in the Appendix.

En	tity Type*					
Α	Financial Institution - Investment Entity					
	(i.)	An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution				
	(ii.)	Other Investment Entity				
В	Financial	Institution - Depository Institution, Custodial Institution or Specified Insurance Company				
С		FE – a corporation the stock of which is regularly traded on an established securities market or a corporation a related entity of such a corporation				
D	Active N	FE – a Government Entity or Central Bank				
Ε	Active N	E – an International Organisation				
F	Active N	FE – other than C–E				
G	Passive I	NFE				
		de additional required information depending on your entity type above.				
En	tity Type	Information needed				
A or B		If you have selected A or B above, please provide, if held, the Account Holder's Global Intermediary Identification Number ("GIIN") obtained for FATCA purposes.				
			_			
С		If you have selected C, please provide the name of the established securities market on which the corporation is regularly traded:				
		If you are a Related Entity of a regularly traded corporation, please provide the name of that regularly traded corporation: The Entity classified as C is a Related Entity of				
Α (i.) or G	Controlling Persons of Passive NFEs Please indicate the name of any Controlling Person(s) of the Account Holder*				

Please complete a "Controlling Person tax residency self-certification form" for each Controlling Person.*

Note: Please see definition of Controlling Person in Appendix. If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official.

Part 3* - Country of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent* ("TIN") (see Appendix)

Please complete the following table indicating:

- (i) where the Account Holder is tax resident, and
- (ii) the Account Holder's TIN for each country indicated.

Please note:

- If the Account Holder is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that on line 1 and provide its place of effective management or country in which its principal office is located.
- If the Account Holder is tax resident in more than three countries please use a separate sheet.
- If a TIN is unavailable please provide the appropriate reason A, B or C where appropriate:

Reason A:

The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents

Reason B:

The Account Holder is otherwise unable to obtain a TIN or equivalent number.

Please explain why you are unable to obtain a TIN in the table below if you have selected this reason.

Reason C:

No TIN is required.

Please only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such country/jurisdiction

Country/Jurisdiction of tax residence	TIN	If no TIN available enter Reason A,B or C		
1				
2				
3				
Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above.				
1				
2				
3				

Part 4*

Declaration and Signature

The Account Holder understands that the information supplied by it is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with the Financial Institution setting out how the Financial Institution may use and share the information supplied by the Account Holder.

The Account Holder acknowledges that the Financial Institution may disclose and transfer to the Financial Institution's parent companies, and its and their respective branches, subsidiaries, affiliates, representative offices, or third party service providers located anywhere in the world (in or outside the country or jurisdiction in which the Account Holder resides, in which their relationship with the Financial Institution is maintained, in which the account or transaction is booked, in which information is collected and/or retained or in which the transaction is conducted) the information contained in this form and other information regarding the Account Holder, any Controlling Person and any Reportable Account(s) when considered necessary by the Financial Institution for its business purposes or in connection with, to comply with, or to facilitate compliance with, any law, regulation, court order or requirement (including under any code, guideline, standard, policy, circular or notice) of a governmental, regulatory, supervisory, law enforcement, prosecuting, tax or similar authority or industry body in any jurisdiction existing currently or in the future, or for the purposes of ongoing cooperation with such governmental, regulatory and/or statutory authority, or to comply with any agreement or arrangement with such authority or between such authorities in any jurisdiction existing currently or in the future. The Account Holder consents to and instructs and authorizes the Financial Institution to make such disclosures and transfers and expressly waive any protection or right under data protection, confidentiality, or any other applicable law, to the extent necessary for such disclosures and transfers.

The Account Holder acknowledges that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am authorised to sign for the Account Holder in respect of all the account(s) to which this form relates.

The Account Holder declares that all statements made in this declaration are, to the best of its knowledge and belief, correct and complete.

The Account Holder undertakes to advise the Financial Institution, within 90 days of any change in circumstances which affects the tax residency status of the Account Holder identified in Part 1 of this form or causes the information contained herein to become incorrect or incomplete (including any changes to the information on controlling persons identified in Part 2 question 2a), and to provide the Financial Institution that maintains the account with a suitably updated self-certification and Declaration within 90 days of such change in circumstances.

Signature:*	
Print name:*	
Date:* (dd/mm/yyyy)	
Capacity*	
Please indicate the capaci	ty in which you are signing the form (for example 'Authorised Officer').
If signing under a power of	f attorney please also attach a certified copy of the power of attorney.

Appendix – Summary Descriptions of Select Defined Terms

Note: These are selected summaries of defined terms provided to assist you with the completion of this form. Further details can be found within the OECD "Common Reporting Standard for Automatic Exchange of Financial Account Information" (the "CRS"), the associated "Commentary" to the CRS, and domestic guidance. Relevant information can be found at the OECD automatic exchange of information portal at http://www.oecd.org/tax/automatic-exchange/.

If you have any questions then please contact your tax adviser or domestic tax authority.

Account Holder

The "Account Holder" is the person listed or identified as the holder of a Financial Account by the Financial Institution that maintains the account. This is regardless of whether such person is a flow-through Entity. Thus, for example, if a trust or an estate is listed as the holder or owner of a Financial Account, the trust or estate is the Account Holder, rather than the trustee or the trust's owners or beneficiaries. Similarly, if a partnership is listed as the holder or owner of a Financial Account, the partnership is the Account Holder, rather than the partners in the partnership. A person, other than a Financial Institution, holding a Financial Account for the benefit or account of another person as agent, custodian, nominee, signatory, investment advisor, or intermediary, is not treated as holding the account, and such other person is treated as holding the account.

Active NFE

An Active NFE if it meets any of the criteria listed below. In summary, those criteria refer to:

- active NFEs by reason of income and assets;
- publicly traded NFEs;
- Governmental Entities, International Organisations, Central Banks, or their wholly owned Entities:
- holding NFEs that are members of a non-financial group;
- start-up NFEs;
- NFEs that are liquidating or emerging from bankruptcy;
- treasury centres that are members of a non-financial group; or
- non-profit NFEs.

An entity will be classified as an Active NFE if it meets any of the following criteria:

- a) less than 50% of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- b) the stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity of an Entity the stock of which is regularly traded on an established securities market:
- c) the NFE is a Governmental Entity, an International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing;
- d) substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;

- e) the NFE is not yet operating a business and has no prior operating history (a "start-up NFE") but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFE;
- f) the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- g) the NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; or
- h) the NFE meets all of the following requirements (a "non-profit NFE")
 - i) it is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;
 - ii) it is exempt from income tax in its jurisdiction of residence;
 - iii) it has no shareholders or members who have a proprietary or beneficial interest in its income or assets:
 - iv) the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and
 - v) the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organisation, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision.

Note: Certain entities (such as U.S. Territory NFFEs) may qualify for Active NFFE status under FATCA but not Active NFE status under the CRS.

Control

"Control" over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest (typically on the basis of a certain percentage (e.g. 10%)) in the Entity. Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person or persons are identified as exercising control of the Entity through ownership interests, the Controlling Person of the Entity is deemed to be the natural person who holds the position of senior managing official.

Controlling Person(s)

"Controlling Person(s)" are the natural person(s) who exercise control over an entity. Where that entity is treated as a Passive Non-Financial Entity ("Passive NFE") then a Financial Institution is required to determine whether or not these Controlling Persons are Reportable Persons. This

definition corresponds to the term "beneficial owner" described in Recommendation 10 and the Interpretative Note on Recommendation 10 of the Financial Action Task Force Recommendations (as adopted in February 2012).

In the case of a trust, the Controlling Person(s) are the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiaries, or any other natural person(s) exercising ultimate effective control over the trust (including through a chain of control or ownership). Under the CRS the settlor(s), the trustee(s), the protector(s) (if any), and the beneficiary(ies) or class(es) of beneficiaries, are always treated as Controlling Persons of a trust, regardless of whether or not any of them exercises control over the activities of the trust.

Where the settlor(s) of a trust is an Entity then the CRS requires Financial Institutions to also identify the Controlling Persons of the settlor(s) and when required report them as Controlling Persons of the trust. In the case of a legal arrangement other than a trust, "Controlling Person(s)" means persons in equivalent or similar positions.

Custodial Institution

The term "Custodial Institution" means any Entity that holds, as a substantial portion of its business, Financial Assets for the account of others. This is where the Entity's gross income attributable to the holding of Financial Assets and related financial services equals or exceeds 20% of the Entity's gross income during the shorter of: (i) the three-year period that ends on 31 December (or the final day of a non-calendar year accounting period) prior to the year in which the determination is being made; or (ii) the period during which the Entity has been in existence.

Depository Institution

The term "Depository Institution" means any Entity that accepts deposits in the ordinary course of a banking or similar business.

FATCA

FATCA stands for the US provisions commonly known as the Foreign Account Tax Compliance Act, which were enacted into US law as part of the Hiring Incentives to Restore Employment (HIRE) Act on March 18, 2010. FATCA creates a new information reporting and withholding regime for payments made to certain non-US financial institutions and other non-US entities.

Entity

The term "Entity" means a legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation. This term covers any person other than an individual (i.e. a natural person).

Financial Account

A Financial Account is an account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts.

Financial Institution

The term "Financial Institution" means a "Custodial Institution", a "Depository Institution", an "Investment Entity", or a "Specified Insurance Company". Please see the relevant domestic guidance and the CRS for further classification definitions that apply to Financial Institutions.

International Organisation

International Organisation" includes any intergovernmental organisation (including a supranational organisation), or wholly owned agency or instrumentality of such an organisation, that:

- · primarily comprises governments; and
- has in effect a headquarters or substantially similar agreement with a jurisdiction; and
- the income of which is not for the benefit of private persons.

Arrangements substantially similar to headquarters arrangements include, for example, arrangements that entitle the organisation's offices or establishments in the jurisdiction (e.g. a subdivision, or a local or regional office) to privileges and immunities.

Investment Entity

The term "Investment Entity" includes two types of Entities:

- i) an Entity that primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:
 - Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
 - Individual and collective portfolio management; or
 - Otherwise investing, administering, or managing Financial Assets or money on behalf of other persons. Such activities or operations do not include rendering non-binding investment advice to a customer.
- ii) The second type of "Investment Entity" ("Investment Entity managed by another Financial Institution") is any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets where the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity.

Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution

The term "Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution" means any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets if the Entity is (i) managed by a Financial Institution and (ii) not a Participating Jurisdiction Financial Institution.

Investment Entity managed by another Financial Institution An Entity is "managed by" another Entity if the managing Entity performs, either directly or through another service provider on behalf of the managed Entity, any of the activities or operations described in clause (i) above in the definition of "Investment Entity".

An Entity only manages another Entity if it has discretionary authority to manage the other Entity's assets (either in whole or part). Where an Entity is managed by a mix of Financial Institutions, NFEs or individuals, the Entity is considered to be managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity, if any of the managing Entities is such another Entity.

NFE

An "NFE" is any Entity that is not a Financial Institution.

Non-Reporting Financial Institution

A Non-Reporting Financial Institution" means any Financial Institution that is:

- a Governmental Entity, International Organisation or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a Specified Insurance Company, Custodial Institution, or Depository Institution;
- a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; a Pension Fund of a Governmental Entity, International Organisation or Central Bank; or a Qualified Credit Card Issuer;
- an Exempt Collective Investment Vehicle;
- a Trustee-Documented Trust: a trust where the trustee of the trust is a Reporting Financial Institution and reports all information required to be reported with respect to all Reportable Accounts of the trust; or
- any other defined in a country's domestic law as a Non-Reporting Financial Institution.

Participating Jurisdiction

A "Participating Jurisdiction" means a jurisdiction with which an agreement is in place pursuant to which it will provide the information required on the automatic exchange of financial account information set out in the Common Reporting Standard and that is identified in a published list.

Participating Jurisdiction Financial Institution

The term "Participating Jurisdiction Financial Institution" means:

- (i) any Financial Institution that is tax resident in a Participating Jurisdiction, but excludes any branch of that Financial Institution that is located outside of that jurisdiction, and
- (ii) any branch of a Financial Institution that is not tax resident in a Participating Jurisdiction, if that branch is located in such Participating Jurisdiction.

Passive NFE

Under the CRS a "Passive NFE" means any NFE that is not an Active NFE. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution is also treated as a Passive NFE for purposes of the CRS.

Related Entity

An Entity is a "Related Entity" of another Entity if either Entity controls the other Entity, or the two Entities are under common control. For this purpose control includes direct or indirect ownership of more than 50% of the vote and value in an Entity.

Reportable Account

The term "Reportable Account" means an account held by one or more Reportable Persons or by a Passive NFE with one or more Controlling Persons that is a Reportable Person.

Reportable Jurisdiction

A "Reportable Jurisdiction" is a jurisdiction with which an obligation to provide financial account information is in place and that is identified in a published list.

Reportable Jurisdiction Person A "Reportable Jurisdiction Person" is an Entity that is tax resident in a Reportable Jurisdiction(s) under the tax laws of such jurisdiction(s) - by reference to local laws in the country where the Entity is established, incorporated or managed. An Entity such as a partnership, limited liability partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated. As such if an Entity certifies that it has no residence for tax purposes it should complete the form stating the address of its principal office.

Dual resident Entities may rely on the tiebreaker rules contained in tax conventions (if applicable) to determine their residence for tax purposes.

Reportable Person

A "Reportable Person" is defined as a "Reportable Jurisdiction Person", other than:

- a corporation the stock of which is regularly traded on one or more established securities markets;
- any corporation that is a Related Entity of a corporation described above;
- a Governmental Entity;
- an International Organisation;
- a Central Bank; or
- a Financial Institution (except for an Investment Entity described in Sub Paragraph A(6)
 b) of the CRS that is not are Participating Jurisdiction Financial Institution. Instead, such Investment Entities are treated as Passive NFEs.)

Resident for tax purposes

Each jurisdiction has its own rules for defining tax residence, and jurisdictions have provided information on how to determine whether an entity is tax resident in the jurisdiction on the following website: http://www.oecd.org/tax/automatic-exchange/.

Generally, an Entity will be resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction (including tax conventions), it pays or should be paying tax therein by reason of its domicile, residence, place of management or incorporation, or any other criterion of a similar nature, and not only from sources in that jurisdiction. Dual resident Entities may rely on the tiebreaker rules contained in tax conventions (if applicable) to solve cases of double residence for determining their residence for tax purposes. An Entity such as a partnership, limited liability

partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated. For additional information on tax residence, please talk to your tax adviser or see the OECD automatic exchange of information portal at http://www.oecd.org/tax/automatic-exchange/.

Specified Insurance Company The term "Specified Insurance Company" means any Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

TIN (including "functional equivalent")

The term "TIN" means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the OECD automatic exchange of information portal at http://www.oecd.org/tax/automatic-exchange/. Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a "functional equivalent"). Examples of that type of number include, for Entities, a Business/company registration code/number.

Form W-8BEN-E

(Rev. October 2021) Department of the Treasury Internal Revenue Service

Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities) For use by entities. Individuals must use Form W-8BEN. Section references are to the Internal Revenue Code. Go to www.irs.gov/FormW8BENE for instructions and the latest information. Give this form to the withholding agent or payer. Do not send to the IRS.

OMB No. 1545-1621

Do NO	T use this form for:	Instead use Form
• U.S.	entity or U.S. citizen or resident	W-:
• A fore	eign individual	W-8BEN (Individual) or Form 8233
	eign individual or entity claiming that income is effectively connected with the oss claiming treaty benefits)	
• A fore gover 501(c	eign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming government, international organization, foreign central bank of issue, foreign ment of a U.S. possession claiming that income is effectively connected U.S. (2), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions for othe person acting as an intermediary (including a qualified intermediary acting as a	on tax-exempt organization, foreign private foundation, or income or that is claiming the applicability of section(s) 115(2), er exceptions)
Par		
1	Name of organization that is the beneficial owner	2 Country of incorporation or organization
3	Name of disregarded entity receiving the payment (if applicable, see instruction	ns)
4	Chapter 3 Status (entity type) (Must check one box only): Simple trust Tax-exempt organization Complex t Private foundation Estate Grantor trust Disregarded entity If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity of the state	rust
5	FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner). Participating FFI. Reporting Model 1 FFI. Reporting Model 2 FFI. Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions. Sponsored FFI. Complete Part IV. Certified deemed-compliant nonregistering local bank. Complete Part V. Certified deemed-compliant FFI with only low-value accounts. Complete Part VI. Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII. Certified deemed-compliant limited life debt investment entity. Complete Part VIII. Certain investment entities that do not maintain financial accounts. Complete Part IX. Owner-documented FFI. Complete Part X.	ne certification below for the entity's applicable status.) Nonreporting IGA FFI. Complete Part XII. Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII. International organization. Complete Part XIV. Exempt retirement plans. Complete Part XV. Entity wholly owned by exempt beneficial owners. Complete Part XVI. Territory financial institution. Complete Part XVIII. Excepted nonfinancial group entity. Complete Part XVIIII. Excepted nonfinancial start-up company. Complete Part XIX. Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX. 501(c) organization. Complete Part XXII. Nonprofit organization. Complete Part XXII. Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII. Excepted territory NFFE. Complete Part XXIV. Active NFFE. Complete Part XXV. Passive NFFE. Complete Part XXVI. Excepted inter-affiliate FFI. Complete Part XXVIII. Direct reporting NFFE. Sponsored direct reporting NFFE. Complete Part XXVIIII. Account that is not a financial account.
6	Permanent residence address (street, apt. or suite no., or rural route). Do not use a	, ,
	City or town, state or province. Include postal code where appropriate.	Country
7	Mailing address (if different from above)	
	City or town, state or province. Include postal code where appropriate.	Country

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Pai	t I Identification of Benefic	ial Owner (continued)	
8	U.S. taxpayer identification number (TIN), if required	
9a	GIIN	b Foreign TIN	c Check if FTIN not legally required
10	Reference number(s) (see instructions)		
Note:	Please complete remainder of the form in	cluding signing the form in Pa	rt XXX.
Par			ent. (Complete only if a disregarded entity with a GIIN or a country of residence. See instructions.)
11	Chapter 4 Status (FATCA status) of disr Branch treated as nonparticipating F	egarded entity or branch received.	ving payment el 1 FFI. U.S. Branch.
12	 Participating FFI. Address of disregarded entity or branch registered address). 	Reporting Mod	rural route). Do not use a P.O. box or in-care-of address (other than a
	City or town, state or province. Include	postal code where appropriate	·
	Country		
13	GIIN (if any)		
Par	Claim of Tax Treaty Ben	efits (if applicable). (Fo	chapter 3 purposes only.)
14 a	I certify that (check all that apply): The beneficial owner is a resident o		within the meaning of the income tax
b		item (or items) of income for dealing with limitation on ber	or which the treaty benefits are claimed, and, if applicable, meets the nefits. The following are types of limitation on benefits provisions that may extions):
	Government	☐ Company that	meets the ownership and base erosion test
	☐ Tax-exempt pension trust or pension	n fund	meets the derivative benefits test
	Other tax-exempt organization	☐ Company with	an item of income that meets active trade or business test
	☐ Publicly traded corporation	☐ Favorable disc	retionary determination by the U.S. competent authority received
	☐ Subsidiary of a publicly traded corp	oration No LOB article	in treaty
	_	Other (specify	Article and paragraph):
С			ividends received from a foreign corporation or interest from a U.S. trade tatus (see instructions).
15	Special rates and conditions (if applications)	able—see instructions):	
	The beneficial owner is claiming the pro		
	of the treaty identified on line 14a above		% rate of withholding on (specify type of income):
	Explain the additional conditions in the A	Article the beneficial owner me	ets to be eligible for the rate of withholding:
Part	IV Sponsored FFI		
16	Name of sponsoring entity:		
17	Check whichever box applies.		
	☐ I certify that the entity identified in F	Part I:	
	Is an investment entity;		
	• Is not a QI, WP (except to the extent p	permitted in the withholding for	eign partnership agreement), or WT; and
	Has agreed with the entity identified all	pove (that is not a nonparticipa	ating FFI) to act as the sponsoring entity for this entity.
	I certify that the entity identified in P		
	Is a controlled foreign corporation as a	defined in section 957(a);	
	 Is not a QL WP or WT. 		

• Is wholly owned, directly or indirectly, by the U.S. financial institution identified above that agrees to act as the sponsoring entity for this entity; and

• Shares a common electronic account system with the sponsoring entity (identified above) that enables the sponsoring entity to identify all account holders and payees of the entity and to access all account and customer information maintained by the entity including, but not limited to, customer identification information, customer documentation, account balance, and all payments made to account holders or payees.

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Part X Owner-Documented FFI (continued)

- - Operates and is licensed solely as a bank or credit union (or similar cooperative credit organization operated without profit) in its country of incorporation or organization;
 - Engages primarily in the business of receiving deposits from and making loans to, with respect to a bank, retail customers unrelated to such bank and, with respect to a credit union or similar cooperative credit organization, members, provided that no member has a greater than 5% interest in such credit union or cooperative credit organization;
 - Does not solicit account holders outside its country of organization;
 - Has no fixed place of business outside such country (for this purpose, a fixed place of business does not include a location that is not advertised to the public and from which the FFI performs solely administrative support functions);
 - Has no more than \$175 million in assets on its balance sheet and, if it is a member of an expanded affiliated group, the group has no more than \$500 million in total assets on its consolidated or combined balance sheets; and
 - Does not have any member of its expanded affiliated group that is a foreign financial institution, other than a foreign financial institution that is incorporated or organized in the same country as the FFI identified in Part I and that meets the requirements set forth in this part.

Part VI Certified Deemed-Compliant FFI with Only Low-Value Accounts

- - Is not engaged primarily in the business of investing, reinvesting, or trading in securities, partnership interests, commodities, notional principal contracts, insurance or annuity contracts, or any interest (including a futures or forward contract or option) in such security, partnership interest, commodity, notional principal contract, insurance contract or annuity contract;
 - No financial account maintained by the FFI or any member of its expanded affiliated group, if any, has a balance or value in excess of \$50,000 (as determined after applying applicable account aggregation rules); and
 - Neither the FFI nor the entire expanded affiliated group, if any, of the FFI, have more than \$50 million in assets on its consolidated or combined balance sheet as of the end of its most recent accounting year.

Part VII Certified Deemed-Compliant Sponsored, Closely Held Investment Vehicle

- 20 Name of sponsoring entity:
- - Is an FFI solely because it is an investment entity described in Regulations section 1.1471-5(e)(4);
 - Is not a QI, WP, or WT;
 - Will have all of its due diligence, withholding, and reporting responsibilities (determined as if the FFI were a participating FFI) fulfilled by the sponsoring entity identified on line 20; and
 - 20 or fewer individuals own all of the debt and equity interests in the entity (disregarding debt interests owned by U.S. financial institutions, participating FFIs, registered deemed-compliant FFIs, and certified deemed-compliant FFIs and equity interests owned by an entity if that entity owns 100% of the equity interests in the FFI and is itself a sponsored FFI).

Part VIII Certified Deemed-Compliant Limited Life Debt Investment Entity

- 22 I certify that the entity identified in Part I:
 - Was in existence as of January 17, 2013;
 - Issued all classes of its debt or equity interests to investors on or before January 17, 2013, pursuant to a trust indenture or similar agreement; and
 - Is certified deemed-compliant because it satisfies the requirements to be treated as a limited life debt investment entity (such as the restrictions with respect to its assets and other requirements under Regulations section 1.1471-5(f)(2)(iv)).

Part IX Certain Investment Entities that Do Not Maintain Financial Accounts

- - Is a financial institution solely because it is an investment entity described in Regulations section 1.1471-5(e)(4)(i)(A), and
 - · Does not maintain financial accounts.

Part X Owner-Documented FFI

Note: This status only applies if the U.S. financial institution, participating FFI, or reporting Model 1 FFI to which this form is given has agreed that it will treat the FFI as an owner-documented FFI (see instructions for eligibility requirements). In addition, the FFI must make the certifications below.

- 24a (All owner-documented FFIs check here) I certify that the FFI identified in Part I:
 - · Does not act as an intermediary;
 - Does not accept deposits in the ordinary course of a banking or similar business;
 - Does not hold, as a substantial portion of its business, financial assets for the account of others;
 - Is not an insurance company (or the holding company of an insurance company) that issues or is obligated to make payments with respect to a financial account:
 - Is not owned by or in an expanded affiliated group with an entity that accepts deposits in the ordinary course of a banking or similar business, holds, as a substantial portion of its business, financial assets for the account of others, or is an insurance company (or the holding company of an insurance company) that issues or is obligated to make payments with respect to a financial account;
 - · Does not maintain a financial account for any nonparticipating FFI; and
 - Does not have any specified U.S. persons that own an equity interest or debt interest (other than a debt interest that is not a financial account or that has a balance or value not exceeding \$50,000) in the FFI other than those identified on the FFI owner reporting statement.

Form W-8BEN-E (Rev. 10-2021) Page 3 Part V **Certified Deemed-Compliant Nonregistering Local Bank** Check box 24b or 24c, whichever applies. **b** I certify that the FFI identified in Part I: • Has provided, or will provide, an FFI owner reporting statement that contains: (i) The name, address, TIN (if any), chapter 4 status, and type of documentation provided (if required) of every individual and specified U.S. person that owns a direct or indirect equity interest in the owner-documented FFI (looking through all entities other than specified U.S. persons); (ii) The name, address, TIN (if any), and chapter 4 status of every individual and specified U.S. person that owns a debt interest in the owner-documented FFI (including any indirect debt interest, which includes debt interests in any entity that directly or indirectly owns the payee or any direct or indirect equity interest in a debt holder of the payee) that constitutes a financial account in excess of \$50,000 (disregarding all such debt interests owned by participating FFIs, registered deemed-compliant FFIs, certified deemedcompliant FFIs, excepted NFFEs, exempt beneficial owners, or U.S. persons other than specified U.S. persons); and (iii) Any additional information the withholding agent requests in order to fulfill its obligations with respect to the entity. • Has provided, or will provide, valid documentation meeting the requirements of Regulations section 1.1471-3(d)(6)(iii) for each person identified in the FFI owner reporting statement. I certify that the FFI identified in Part I has provided, or will provide, an auditor's letter, signed within 4 years of the date of payment, from an independent accounting firm or legal representative with a location in the United States stating that the firm or representative has reviewed the FFI's documentation with respect to all of its owners and debt holders identified in Regulations section 1.1471-3(d)(6)(iv)(A)(2), and that the FFI meets all the requirements to be an owner-documented FFI. The FFI identified in Part I has also provided, or will provide, an FFI owner reporting statement of its owners that are specified U.S. persons and Form(s) W-9, with applicable waivers. Check box 24d if applicable (optional, see instructions). I certify that the entity identified on line 1 is a trust that does not have any contingent beneficiaries or designated classes with unidentified beneficiaries. **Restricted Distributor** Part XI (All restricted distributors check here) I certify that the entity identified in Part I: • Operates as a distributor with respect to debt or equity interests of the restricted fund with respect to which this form is furnished; • Provides investment services to at least 30 customers unrelated to each other and less than half of its customers are related to each other; • Is required to perform AML due diligence procedures under the anti-money laundering laws of its country of organization (which is an FATFcompliant jurisdiction); · Operates solely in its country of incorporation or organization, has no fixed place of business outside of that country, and has the same country of incorporation or organization as all members of its affiliated group, if any; · Does not solicit customers outside its country of incorporation or organization; • Has no more than \$175 million in total assets under management and no more than \$7 million in gross revenue on its income statement for the most recent accounting year; • Is not a member of an expanded affiliated group that has more than \$500 million in total assets under management or more than \$20 million in gross revenue for its most recent accounting year on a combined or consolidated income statement; and Does not distribute any debt or securities of the restricted fund to specified U.S. persons, passive NFFEs with one or more substantial U.S. owners, or nonparticipating FFIs. Check box 25b or 25c, whichever applies. I further certify that with respect to all sales of debt or equity interests in the restricted fund with respect to which this form is furnished that are made after December 31, 2011, the entity identified in Part I: Has been bound by a distribution agreement that contained a general prohibition on the sale of debt or securities to U.S. entities and U.S. resident individuals and is currently bound by a distribution agreement that contains a prohibition of the sale of debt or securities to any specified U.S. person, passive NFFE with one or more substantial U.S. owners, or nonparticipating FFI. Is currently bound by a distribution agreement that contains a prohibition on the sale of debt or securities to any specified U.S. person, passive NFFE with one or more substantial U.S. owners, or nonparticipating FFI and, for all sales made prior to the time that such a restriction was included in its distribution agreement, has reviewed all accounts related to such sales in accordance with the procedures identified in Regulations section 1.1471-4(c) applicable to preexisting accounts and has redeemed or retired any, or caused the restricted fund to transfer the securities to a distributor that is a participating FFI or reporting Model 1 FFI securities which were sold to specified U.S. persons, passive NFFEs with one or more substantial U.S. owners, or nonparticipating FFIs. Form **W-8BEN-E** (Rev. 10-2021)

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Part	XII	Nonreporting IGA FFI
26	□lc∈	ertify that the entity identified in Part I:
	Meet	is the requirements to be considered a nonreporting financial institution pursuant to an applicable IGA between the United States and
		The applicable IGA is a \square Model 1 IGA or a \square Model 2 IGA; and
	is treat	ted as aunder the provisions of the applicable IGA or Treasury regulations
	(if appl	licable, see instructions);
	-	u are a trustee documented trust or a sponsored entity, provide the name of the trustee or sponsor
	The tru	ustee is: U.S. Foreign
Part	ΧIII	Foreign Government, Government of a U.S. Possession, or Foreign Central Bank of Issue
27	☐ I ce	ertify that the entity identified in Part I is the beneficial owner of the payment, and is not engaged in commercial financial activities of a e engaged in by an insurance company, custodial institution, or depository institution with respect to the payments, accounts, or igations for which this form is submitted (except as permitted in Regulations section 1.1471-6(h)(2)).
Part	XIV	International Organization
Check	box 28	a or 28b, whichever applies.
28a		ertify that the entity identified in Part I is an international organization described in section 7701(a)(18).
b	□lc	ertify that the entity identified in Part I:
	• Is co	mprised primarily of foreign governments;
		cognized as an intergovernmental or supranational organization under a foreign law similar to the International Organizations Immunities that has in effect a headquarters agreement with a foreign government;
	• The I	benefit of the entity's income does not inure to any private person; and
	custod	e beneficial owner of the payment and is not engaged in commercial financial activities of a type engaged in by an insurance company ial institution, or depository institution with respect to the payments, accounts, or obligations for which this form is submitted (except as ted in Regulations section 1.1471-6(h)(2)).
Part	-	Exempt Retirement Plans
		a, b, c, d, e, or f, whichever applies.
29a		ertify that the entity identified in Part I:
ZJa		tablished in a country with which the United States has an income tax treaty in force (see Part III if claiming treaty benefits);
		erated principally to administer or provide pension or retirement benefits; and
		titled to treaty benefits on income that the fund derives from U.S. sources (or would be entitled to benefits if it derived any such income)
		esident of the other country which satisfies any applicable limitation on benefits requirement.
b	☐ I ce	ertify that the entity identified in Part I:
		rganized for the provision of retirement, disability, or death benefits (or any combination thereof) to beneficiaries that are former yees of one or more employers in consideration for services rendered;
	• No si	ingle beneficiary has a right to more than 5% of the FFI's assets;
		ubject to government regulation and provides annual information reporting about its beneficiaries to the relevant tax authorities in the y in which the fund is established or operated; and
	(i)	Is generally exempt from tax on investment income under the laws of the country in which it is established or operates due to its status as a retirement or pension plan;
	(ii)	Receives at least 50% of its total contributions from sponsoring employers (disregarding transfers of assets from other plans described in this part, retirement and pension accounts described in an applicable Model 1 or Model 2 IGA, other retirement funds described in an applicable Model 1 or Model 2 IGA, or accounts described in Regulations section 1.1471-5(b)(2)(i)(A));
	(iii)	Either does not permit or penalizes distributions or withdrawals made before the occurrence of specified events related to retirement disability, or death (except rollover distributions to accounts described in Regulations section 1.1471-5(b)(2)(i)(A) (referring to retirement and pension accounts), to retirement and pension accounts described in an applicable Model 1 or Model 2 IGA, or to other retirement funds described in this part or in an applicable Model 1 or Model 2 IGA); or
		Limits contributions by employees to the fund by reference to earned income of the employee or may not exceed \$50,000 annually.
С		ertify that the entity identified in Part I:
		rganized for the provision of retirement, disability, or death benefits (or any combination thereof) to beneficiaries that are forme yees of one or more employers in consideration for services rendered;
		fewer than 50 participants;
		onsored by one or more employers each of which is not an investment entity or passive NFFE;
	pensio	loyee and employer contributions to the fund (disregarding transfers of assets from other plans described in this part, retirement and accounts described in an applicable Model 1 or Model 2 IGA, or accounts described in Regulations section 1.1471-5(b)(2)(i)(A)) are by reference to earned income and compensation of the employee, respectively;
	Partic	cipants that are not residents of the country in which the fund is established or operated are not entitled to more than 20% of the fund's assets: and

• Is subject to government regulation and provides annual information reporting about its beneficiaries to the relevant tax authorities in the country in which the fund is established or operates.

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Part	XV Exempt Retirement Plans (continued)	_
d	I certify that the entity identified in Part I is formed pursuant to a pension plan that would meet the requirements of section 401(a), other	
	than the requirement that the plan be funded by a trust created or organized in the United States.	
е	L certify that the entity identified in Part I is established exclusively to earn income for the benefit of one or more retirement funds	
	described in this part or in an applicable Model 1 or Model 2 IGA, or accounts described in Regulations section 1.1471-5(b)(2)(i)(A) (referring tretirement and pension accounts), or retirement and pension accounts described in an applicable Model 1 or Model 2 IGA.	O
f	I certify that the entity identified in Part I:	
	• Is established and sponsored by a foreign government, international organization, central bank of issue, or government of a U.S. possess (each as defined in Regulations section 1.1471-6) or an exempt beneficial owner described in an applicable Model 1 or Model 2 IGA to provretirement, disability, or death benefits to beneficiaries or participants that are current or former employees of the sponsor (or persodesignated by such employees); or	ide
	• Is established and sponsored by a foreign government, international organization, central bank of issue, or government of a U.S. possess (each as defined in Regulations section 1.1471-6) or an exempt beneficial owner described in an applicable Model 1 or Model 2 IGA to provretirement, disability, or death benefits to beneficiaries or participants that are not current or former employees of such sponsor, but are consideration of personal services performed for the sponsor.	ide
Part	Entity Wholly Owned by Exempt Beneficial Owners	
30	☐ I certify that the entity identified in Part I:	
	Is an FFI solely because it is an investment entity;	
	• Each direct holder of an equity interest in the investment entity is an exempt beneficial owner described in Regulations section 1.1471-6 o an applicable Model 1 or Model 2 IGA;	r ir
	• Each direct holder of a debt interest in the investment entity is either a depository institution (with respect to a loan made to such entity) or exempt beneficial owner described in Regulations section 1.1471-6 or an applicable Model 1 or Model 2 IGA.	ar
	 Has provided an owner reporting statement that contains the name, address, TIN (if any), chapter 4 status, and a description of the type documentation provided to the withholding agent for every person that owns a debt interest constituting a financial account or direct equ interest in the entity; and 	
	• Has provided documentation establishing that every owner of the entity is an entity described in Regulations section 1.1471-6(b), (c), (d), (d), (d), (e), (e), (e), (e), (e), (e), (e), (e	е),
Part	VII Territory Financial Institution	
31	I certify that the entity identified in Part I is a financial institution (other than an investment entity) that is incorporated or organized under	
	the laws of a possession of the United States.	
Part	<u>_</u>	
32	I certify that the entity identified in Part I:	
	• Is a holding company, treasury center, or captive finance company and substantially all of the entity's activities are functions described Regulations section 1.1471-5(e)(5)(i)(C) through (E);	1 ir
	• Is a member of a nonfinancial group described in Regulations section 1.1471-5(e)(5)(i)(B);	
	 Is not a depository or custodial institution (other than for members of the entity's expanded affiliated group); and Does not function (or hold itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or a investment vehicle with an investment strategy to acquire or fund companies and then hold interests in those companies as capital assets investment purposes. 	
Part	Excepted Nonfinancial Start-Up Company	_
33	I certify that the entity identified in Part I: • Was formed on (or, in the case of a new line of business, the date of board resolution approving the new line of business)	
	(date must be less than 24 months prior to date of payment);	
	• Is not yet operating a business and has no prior operating history or is investing capital in assets with the intent to operate a new line business other than that of a financial institution or passive NFFE;	of
	• Is investing capital into assets with the intent to operate a business other than that of a financial institution; and	
	• Does not function (or hold itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or a investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purpose	•
Part	Excepted Nonfinancial Entity in Liquidation or Bankruptcy	
34	I certify that the entity identified in Part I: Filed a plan of liquidation, filed a plan of reorganization, or filed for bankruptcy on	_;
	• During the past 5 years has not been engaged in business as a financial institution or acted as a passive NFFE;	
	• Is either liquidating or emerging from a reorganization or bankruptcy with the intent to continue or recommence operations as a nonfinancial entity; and	
	• Has, or will provide, documentary evidence such as a bankruptcy filing or other public documentation that supports its claim if it remains bankruptcy or liquidation for more than 3 years.	in

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Part	
35	☐ I certify that the entity identified in Part I is a 501(c) organization that:
	• Has been issued a determination letter from the IRS that is currently in effect concluding that the payee is a section 501(c) organization that is dated; or
	• Has provided a copy of an opinion from U.S. counsel certifying that the payee is a section 501(c) organization (without regard to whether the payee is a foreign private foundation).
Part	XXII Nonprofit Organization
36	I certify that the entity identified in Part I is a nonprofit organization that meets the following requirements.
	• The entity is established and maintained in its country of residence exclusively for religious, charitable, scientific, artistic, cultural or educational purposes;
	The entity is exempt from income tax in its country of residence;
	• The entity has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
	• Neither the applicable laws of the entity's country of residence nor the entity's formation documents permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or noncharitable entity other than pursuant to the conduct of the entity's charitable activities or as payment of reasonable compensation for services rendered or payment representing the fair market value of property which the entity has purchased; and
	• The applicable laws of the entity's country of residence or the entity's formation documents require that, upon the entity's liquidation of dissolution, all of its assets be distributed to an entity that is a foreign government, an integral part of a foreign government, a controlled entity of a foreign government, or another organization that is described in this part or escheats to the government of the entity's country or residence or any political subdivision thereof.
Part	XXIII Publicly Traded NFFE or NFFE Affiliate of a Publicly Traded Corporation
Check	s box 37a or 37b, whichever applies.
37a	I certify that:
	• The entity identified in Part I is a foreign corporation that is not a financial institution; and
	The stock of such corporation is regularly traded on one or more established securities markets, including
b	☐ I certify that:
	 The entity identified in Part I is a foreign corporation that is not a financial institution; The entity identified in Part I is a member of the same expanded affiliated group as an entity the stock of which is regularly traded on an established securities market;
	• The name of the entity, the stock of which is regularly traded on an established securities market, is; and; and; the name of the securities market on which the stock is regularly traded is
Part	XXIV Excepted Territory NFFE
38	L certify that:
	The entity identified in Part I is an entity that is organized in a possession of the United States;
	• The entity identified in Part I:
	(i) Does not accept deposits in the ordinary course of a banking or similar business;
	(ii) Does not hold, as a substantial portion of its business, financial assets for the account of others; or
	(iii) Is not an insurance company (or the holding company of an insurance company) that issues or is obligated to make payments with respect to a financial account; and
	• All of the owners of the entity identified in Part I are bona fide residents of the possession in which the NFFE is organized or incorporated.
Part	XXV Active NFFE
39	I certify that:
	The entity identified in Part I is a foreign entity that is not a financial institution;
	• Less than 50% of such entity's gross income for the preceding calendar year is passive income; and
	• Less than 50% of the assets held by such entity are assets that produce or are held for the production of passive income (calculated as a weighted average of the percentage of passive assets measured quarterly) (see instructions for the definition of passive income).
Part 2	XXVI Passive NFFE
40a	I certify that the entity identified in Part I is a foreign entity that is not a financial institution (other than an investment entity organized in a possession of the United States) and is not certifying its status as a publicly traded NFFE (or affiliate), excepted territory NFFE, active NFFE, direct reporting NFFE, or sponsored direct reporting NFFE.
Check	s box 40b or 40c, whichever applies.
b	I further certify that the entity identified in Part I has no substantial U.S. owners (or, if applicable, no controlling U.S. persons); or
С	☐ I further certify that the entity identified in Part I has provided the name, address, and TIN of each substantial U.S. owner (or, if applicable, controlling U.S. person) of the NFFE in Part XXIX.

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Part XXVII	Excepted Inter-Affil	iate FFI		
41	certify that the entity identifie	d in Part I:		
	nember of an expanded affiliate	- ·		
			members of its expanded affiliated group);	
		ments to any person other than to memb	ers or its expanded amiliated group; which the entity is operating to pay for expe	anses) with or receive
	•	ent other than a member of its expanded	, , , , ,	miscs) with or receive
• Has ı	not agreed to report under Re	gulations section 1.1471-4(d)(2)(ii)(C) or other	erwise act as an agent for chapter 4 purposes	on behalf of any financial
institut	ion, including a member of its	s expanded affiliated group.		
	-	eporting NFFE (see instructions	for when this is permitted)	
	of sponsoring entity:			
			is sponsored by the entity identified on line	42.
		ners of Passive NFFE		
substantial U.S		n to an FFI treated as a reporting Model 1	 S. owner of the NFFE. Please see the instru FFI or reporting Model 2 FFI, an NFFE may 	
	Name	Ad	dress	TIN
				<u> </u>
Part XXX	Certification			
•	of perjury, I declare that I have alties of perjury that:	examined the information on this form and to t	he best of my knowledge and belief it is true, cor	rect, and complete. I furthe
	•	form is the beneficial owner of all the income of form for purposes of section 6050W or 6050Y;	r proceeds to which this form relates, is using this	form to certify its status for
• The e	entity identified on line 1 of this for	orm is not a U.S. person;		
conduc	t of a trade or business in the	United States but is not subject to tax under	e or business in the United States, (b) income ef an income tax treaty, (c) the partner's share of artnership interest subject to withholding under sec	f a partnership's effectively
• For b	roker transactions or barter exch	nanges, the beneficial owner is an exempt forei	n person as defined in the instructions.	
	•	d to any withholding agent that has control, rece or make payments of the income of which the	ceipt, or custody of the income of which the entity entity on line 1 is the beneficial owner.	on line 1 is the beneficial
_		days if any certification on this form become on for the entity identified on line 1 of th		
⊡ r certily til Sign Here	at I have the capacity to sig	gn for the entity identified on line 1 of th	S IVIIII.	
orgin nicht	Signature of individual	authorized to sign for beneficial owner	Print Name	Date (MM-DD-YYYY)
	Signature of morvidual	authorized to sign for bettericial owner	Fillit Name	Date (IVIIVI-DD-1111)
			Form W -	-8BEN-E (Rev. 10-2021)

J.P.Morgan

Know Your Customer (KYC) Application Form

Legal Entity/ Other than Individuals

'CENTRAL KYC REGISTERY | Know Your Customer (KYC) Application Form | Other than Individuals

Important Instructions:

- A) Fields marked with * are mandatory fields
- B) Tick wherever applicable
- C) Please fill the date in DD-MM-YYYY format
- D) Please fill the form in English and in BLOCK letters
- E) KYC Number of applicant is mandatory for update application
- F) List of State / U.T code as per India Motor Vehicle Act, 1988 is available at the end
- G) List of two character ISO 3166 country codes is available at the end
- H) Please read section wise detailed guidelines / instructions at the end
- I) For particular section update, please tick in the box available before the section number and strike off the sections not required to be updated

For Office Use Only	Applic	ation Typ	oe* New 🗌 Up	date				
(to be filled by financial instit	ution) KYC N	umber Cli	ick or tap here to ente	r text.	(Mandatory fo	r KYC Upda	ate Request)	
1. ENTITY DETAILS (Please re	efer to instruction A	at the en	d)					
Name*	Click or tap here to e	nter text.						
Entity Constitution Type*	Click or tap here to e	nter text.	Please refer instruction	n B at	t the end)			
Date of incorporation / Formation*	Click or tap to enter a date.)	Date of commenceme	ent of	business:		Click or t	ap to enter a
Place of Incorporation / Formation*	Click or tap here to e text.	nter	Country of Incorporation / Formation*		Click or tap here to enter text.	TIN or Ed	quivalent Country	Click or tap here to enter text.
PAN*	Click or tap here to e text.	nter	☐Form 60 furnished					
TIN / GST Registration Number	er Click or tap here to	enter text.						
2. PROOF OF IDENTITY (Pol)	* (Please refer instr	uction B a	t the end)					
☐Officially valid document(s) in respect of perso	n authori	zed to transact					
☐ Certificate of incorporation	n / Formation Click or	tap here to	enter text.		Registratio	n Certifica	te Click or tap	here to enter text.
☐ Memorandum and Articles	of Association	□Partn	ership Deed		☐Trust Deed			
☐ Resolution of Board / Man	aging Committee	□Powe	er of attorney granted t	o its r	manager, office	rs or empl	oyees to trar	nsact on its behalf
☐ Activity Proof – 1 (For Sole Proprietorship Only)		☐ Activity Proof – 2 (For Sole Proprietorship)						
3. ADDRESS* (Please see inst	ruction C at the end	1)						
3.1 Registered Office Address	s / Place of Business	*						
Proof of Address*			icate of ration/Formation	□Re	egistration Certi	ficate	☐Other Doc	cument MCA
Line 1*								
Line 2								
Line 3	Click or tap here to text.	o enter	City/Town/Village	e*		C	lick or tap he	ere to enter text.
District*	Click or tap here to	o enter	Pin/Post Code*		State / U.T Cod	de* IS	6O 3166 Cou	ntry Code*
	text.		Click or tap here t enter text.	0	Click or tap her enter text.	re to C	lick or tap he	ere to enter text.
3.2 Local Address in India (if	different from abov	/e)*						

			-							
Line 1*			Clic	Click or tap here to enter text.						
Line 2			Clic	k or tap	here to	enter text.				
Line 3		Click or tap he text.	ere to ente	er	City / T	own / Village*			Click or tap here to enter text.	
District*		Click or tap he	ere to ente	er	Pin/Po:	st Code*	State/ U.T	Code*	ISO 3166 Country Code*	
		text.			Click or	r tap here to	Click or tap	here to	Click or tap here to enter text.	
					enter t	ext.	enter text.		•	
4 CONTACT DETAILS (A	All com	munications w	ill be sent	on prov	vided mo	bile no./Email I	D* may be u	sed) (Please	e refer to instruction D at the end)	
Tel. (Off)				FAX		Click or tap he	re to enter to	ext.		
Mobile	Click text.	or tap here to e	enter	Email	ID	Click or tap he	re to enter to	ext.		
Mobile	Click text.	or tap here to ϵ	enter	Email	ID	Click or tap he	re to enter to	ext.		
5. NUMBER OF RELATE	D PER	SONS 1 (Pleas	se refer ins	structio	n E at th	e end)				
6. REMARKS (if any)										
7. APPLICATION DECLA	RATIO	ON (Please refe	r instruction	on G at	the end))				
I hereby declare that the my knowledge and below immediately. In case and misleading or misrepresent to the consent to the con	ief and ny of t esentin	l I undertake to he above inforr ig, I am aware t	inform yo mation is f hat I may	ou of an ound to be held	y change be false liable fo	es therein, e or untrue or or it.				
SMS/Email on the above	/e regi	stered number,	/email add	ldress						
Date: 08/08/2022		F	Place: Mur	mbai			Signature /	Thumb Impi	ression of Authorized Person(s)	
8. ATTESTATION/FOR	OFFICE	USE ONLY								
Documents										
KYC VERIFICATION CAR	RIED (OUT BY		IN:	STITUTIO	ON DETAILS				
Identity Verification Done]	Date: 03/11/2	2021	Na	ime			Click or ta	ap here to enter text.	
Emp. Name	Clic	ck or tap here to	o enter	Со	de			Click or ta	ap here to enter text.	
Emp. Code	Clic	ck or tap here to	o enter							
Emp. Designation	Clic	ck or tap here to	o enter							
Emp. Branch	Clic	ck or tap here to	o enter				[Instit	ution Stam	pp]	
[Empl	oyee S	Signature]								

A. Clarification / Guidelines for filling Entity	Details section	
1. Entity Constitution Type		
A – Sole Proprietorship	H – Trust	O – Artificial Juridical Person
B – Partnership Firm	I – Liquidator	P – International Organization or Agency/ Foreign Embassy or Consular Office etc.
C – HUF	J – limited Liability Partnership	Q – Not Categorized
D – Private Limited Company	K – Artificial Liability Partnership	R – Others
E – Public Limited Company	L – Public Sector Banks	S – Foreign Portfolio Investors
F – Society	M – Central/State Government Department or Agency	
G – Association of Persons (AOP) / Body of Individuals (BOI)	N – Section 8 Companies (Companies Act, 2013)	

B. Clarification / Guidelines for filling "Proof of Identity [Pol]" section

- 1. Activity Proof 1 and Activity Proof 2 are applicable for accounts in case of proprietorship firms. Please refer to relevant instructions issued by the Reserve Bank of India in this regard.
- 2. Please refer to the relevant instructions issued by the regulator regarding applicable documents for the legal entity.
- 3. Certified copy of document or equivalent e-document or OVD obtained through Digital KYC process to be submitted.
- 4. "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Proving Digital Locker Facilities) Rules, 2016.
- 5. "Digital KYC process" has to be carried out as stipulated in the PML Rules, 2005
- 6. KYC requirements for Foreign Portfolio Investors (FPIs) will be specified by the concerned regulator from time to time.

C. Clarification / Guidelines for filling "Proof of Identity[Pol]" section

- 1. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses
- 2. Certified copy of document or equivalent e-document to be submitted

D. Clarification / Guidelines for filling "Contact Details" section

- 1. Please mention two-digit country code and 10 digit mobile number (e.g. for India mobile number mention 91-999999999).
- 2. Do not add "0" in the beginning of Mobile number.

- E. Clarification / Guidelines for filling "Related Person Details" section
 - 1. Personal Details
 - The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected
 - 2. Proof of Address [PoA]
 - PoA to be submitted only if the submitted Pol does not have an address as per Pol is invalid or not in force
 - State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses
 - In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR
 - REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address, different from the address as per the identity information available in the Central Identities Data Repository
 - 3. If KYC number of Related Person is available, no other details except "Person Type" and "Name of the Related Person" are required.
 - 4. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR
 - F. Provision for capturing signature of multiple authorized person sis to be made by the RE.

List of two digit state / U.	T codes as per India	Motor Vehicle Act, 1988			
State / U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	НР	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	РВ
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	СН	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	МН	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO 3166 two-digit Country Code

Country	Country Code	Country	Country	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miguelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GO	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	Al	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Island	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Island	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Moyotte	YT	South Africa	ZA
	BH		GM		MX		GS
Bahrain		Gambia		Mexico Misrapasia Fadarated States of		South Georgia and the South Sandwich Islands	
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SI
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	ВО	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Nambia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	MZ	Taiwan province of china	TW
Botswana	BW	Guinea	GN	Nepal	NP	Taiikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hongkong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cavman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	ΙΕ	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT		PY	United States	US
				Paraguay			
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	OA	Virgin Islands, British	VG
Cote d'Ivoire ICote d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion !Reunion	RE	Virgin Island, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao ICuracao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy !Saint BartheJemy	BL	Zambia	ZM
	CZ		LV		SH	Zimbabwe	ZW
Czech Republic Denmark		Latvia		Saint Helena, Ascension and Tristan da Cunha		Zillipapwe	ZVV
Denigark	DK	Lebanon	LB	Saint Kittsand Nevis	KN		
	D.I.						
Djibouti Dominica	DJ DM	Lesotho Liberia	LS LR	Saint Lucia Saint Martin (French Part)	LC MF		



Know Your Customer (KYC) Application Form

F) Please read section wise detailed guidelines / instructions at the end

H) List of two character ISO 3166 country codes is available at the end

I) KYC number of applicant is mandatory for update application

G) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available

Related Person

Annexure A1		

Important Instructions

- A) Fields marked with * are mandatory fields
- B) Tick wherever applicable
- C) Please fill the form in English and in BLOCK letters
- D) Please fill the date in DD-MM-YYYY format
- E) For particular section update, please tick in the box section number and strike off the sections not required to be updated

number and strike off the sections not r	equired to be updated			
For Office Use Only	Application Type*	New \square	Update \square	Deleted \Box

(to be filled by financial institution	on) KYC Number	Click or tap h	ere to enter tex	ct. (Mai	ndatory for KYC	Update Req	uest)
1. DETAILS OF RELATED PERSON	* (Pease refer to instru	ction E at the	end)				
Addition of Related Person	Deletion of Related	d Upda Person	ate Related Details		Number of Relate to enter text.	ed Person (i	if available)* Click or tap
Related Person Type*	☐ Director	☐ Promote	r 🗆 K	arta	□т	rustee	☐ Partner
	☐ Proprietor ☐	Beneficiary	☐ Authoris	sed Sigr	natory	☐ Bene	ficial Owner
	☐ Court Appointmen	t Official	☐ Power o	f Attor	ney Holder	☐ Other	r (Please specify)
DIN (Director Identification Num	ber)		Click or tap he	re to ent	er text. (Mandato	ory if Relate	d Person Type is Director)
Name*	Prefix	First Na	me	N	⁄Iiddle Name		Last Name
	Click or tap here to enter tex	t. Click or ta	p here to enter text	:. CI	lick or tap here to ent	er text.	Click or tap here to enter text.
If KYC number and name are pro	vided, below details are	optional					
Maiden Name	Click or tap here to enter tex	t. Click or ta	p here to enter text	:. CI	lick or tap here to ent	er text.	Click or tap here to enter text.
Father / Spouse Name*	Click or tap here to enter tex	t. Click or ta	p here to enter text	:. CI	lick or tap here to ent	er text.	Click or tap here to enter text.
Mother Name	Click or tap here to enter tex	t. Click or ta	p here to enter text	:. CI	lick or tap here to ent	er text.	Click or tap here to enter text.
Date of Birth*	Click or tap to ente	er a date.					
Nationality*	Click or tap here to enter tex	t.					
Gender*	□M - Male	□F -Fe	male		□T - Transgende	r	
PAN*	Click or tap here to enter tex	t.	60 furnished				
2. PROOF OF IDENTITY AND ADD	DRESS*						
I Certified copy of OVD or eq digital KYC process needs to				gh	□рното*		
A – Passport Number Click or ta	p here to enter text.				[Insert Photo)]	
B – Voter ID Card Click or tap he	ere to enter text.						
C – Driving License Click or tap I	nere to enter text.						
D – NREGA Job Card Click or tap	here to enter text.						
E – National Population Register	Letter Click or tap here	to enter text					
F – Proof of Possession of Aadha	ar (Last 4 digits) Click or	tap here to	enter text.				
II □E -KYC Authentication (La	st 4 digits) Click or tap l	nere to enter	text.				

	T						ı		
Ш	☐Offline verification o	of Aadhaar (Last	4 digits) Clicl	k or	tap here to enter text.				
Add	dress								
Line	e 1*								
Line	e 2								
Line	e 3	Click or tap he	ere to enter t	ext.		City	/Town/Village	*	Click or tap here to enter text.
Dis	trict*	Click or tap he	ere to enter t	ext.	Pin/Post Code*	Stat	e/U.T Code*		ISO 3166 Country Code*
									Click or tap here to enter text.
3. 0	CURRENT ADDRESS DETA	AILS (Please refe	r instruction	E at	the end)				
\boxtimes S	Same as above mentione	d address (in su	ch cases add	ress	details as below need not I	be pro	vided)		
I	Certified copy of OVD (or equivalent e-	document of	OVI	or OVD obtained through	digita	l KYC process	needs	to be submitted (anyone of the
A –	Passport Number			Cli	ck or tap here to enter text	t.			
В-	Voter ID Card			Cli	ck or tap here to enter text	t.			
C –	Driving License			Cli	ck or tap here to enter text	t.			
D -	· NREGA Job Card			Cli	ck or tap here to enter text	t.			
E -	National Population Reg	ister Letter		Cli	ck or tap here to enter text	t.			
	Proof of Possession of A		oigits)	Cli	ck or tap here to enter text	t.			
II	☐ E-KYC Authenticatio			Cli	ck or tap here to enter text	t.			
Ш	☐Offline verification of		4 Digits)	Cli	ck or tap here to enter text	t.			
IV	☐ Deemed Proof Addr	ess – Document	Type code	Cli	ck or tap here to enter text	t.			
٧	☐ Self-Declaration								
Line	e 1*	Click or tap	here to ente	r tex	rt.				
Line			here to ente						
Line			here to ente		City/Town/Village*				Click or tap here to enter text.
		text.							
Dist	trict*	-	here to ente	r	Pin/Post Code*	Sta	te / U.T Code	k	ISO 3166 Country Code*
		text.			Click or tap here to enter		ck or tap here	to	Click or tap here to enter text.
					text.		ter text.		
					ovided mobile no./Email ID)				
	. (Off) Click or tap her		Tel. (Res)	C	lick or tap here to enter te	xt.	Mobile	Click	or tap here to enter text.
	ail ID anees.ahmed@a	autoliv.com							
5. F	REMARKS (If any)								
6. <i>A</i>	APPLICATION DECLARAT	ION							
kno imr	ereby declare that the de owledge and belief and I mediately. In case any of sleading or misrepresent	undertake to int the above infor	form you of a mation is fou	any c und t	o be false or untrue or	,			
	re hereby consent to rece S/Email on the above re				YC Registry through				
Dat	te: Click or tap to en t.	ter a date.	P	lace	: Click or tap here to enter		gnature / Thum uthorized Perso		ession, Name and Designation of
7. 4	ATTESTATION/FOR OFFIC	CE USE ONLY							

Documents	□Certif	ied (Copies	☐ E-KYC data received from UIDAI	☐ Data received from Offline verification
Received	□Digita	al KY	C Process	□Equivalent e-document	
KYC VERIFICATIO	N CARRIE	D OL	JT BY	INSTITUTION DETAILS	
Identity Verificat	ion □Do	ne	<u>Date</u> : Click or tap to enter a date.	Name	Click or tap here to enter text.
Emp. Name		Clic	k or tap here to enter text.	Code	Click or tap here to enter text.
Emp. Code		Clic	k or tap here to enter text.		
Emp. Designation	า	Clic	k or tap here to enter text.		
Emp. Branch		Clic	k or tap here to enter text.	[Institut	ion Stamp]
	[Employ	/ee S	ignature]		



Legal Entity Identifiers: Frequently Asked Questions

What is an LEI?

- An LEI is a unique 20-character alphanumeric code that is assigned to each legal entity globally.
- The LEI system is overseen by the Global LEI Foundation (GLEIF), a not-for-profit organization established in Switzerland in June 2014. A wealth of information about the GLEIF and the LEI system can be found at www.gleif.org. The site also includes the full database of all LEIs issued.

Who needs an LEI?

- The vast majority of entities participating in the financial markets, whether cash, derivatives or financing markets, will need an LEI in order to comply with applicable laws and regulations.
- If your organization has multiple legal entities, each will require its own LEI.

What are we asking you to obtain an LEI?

- The requirements to obtain an LEI began with G20 ministers who mandated the global reporting of all swap transactions in 2010. There are now over 50 rules or regulations globally that mandate or request the LEI under a broad spectrum of financial transactions. By way of example:
 - o Many rules require that parties be identified via LEI in transaction reporting.
 - EU Regulations such as European Market Infrastructure Regulation (EMIR), Securities Financing Transactions Regulation (SFTR) and Markets in Financial Instruments Regulation (MiFIR) broaden the types of transactions that are subject to LEI reporting to include securities financing transactions and cash securities, among others.
 - Importantly, under Markets in Financial Instruments Directive (MiFIDII), certain services cannot be provided to clients that can, but did not, obtain an LEI.
 - New rules are being proposed, such as the SEC's Investment Company Reporting Modernization rule, further broadening the scope of the requirement to identifying entities via LEI.
 - The list of regulations requiring an LEI is available at <u>www.gleif.org</u>.
- Additionally, LEIs are used for execution of certain processes such as completing the International Swaps and Derivatives Association (ISDA) protocols for certain types of trading activity. J.P. Morgan also plans to request LEIs as part of the Credit Support Annex (CSA) re-documentation process in relation to regulatory margin requirements for uncleared derivatives transactions.

What are the benefits of an LEI?

- Standardization of information is critical for all financial market participants in both the public and private sector.
- The regulatory landscape has shifted and the demand for industry supervisors to have appropriate, usable
 data is clear. A standard legal entity identifier will allow regulators to conduct a more accurate analysis of
 global systemic risk across markets, products and regions, and identification of concentrations and emerging
 systemic risks.
- Use of the LEI also allows parties to comply with applicable laws and regulations.

This summary is confidential and subject to important disclaimers and conditions including on offers for the purchase or sale of securities, accuracy and completeness of information, viruses, confidentiality, legal privilege, and legal entity disclaimers, available at https://www.ipmorgan.com/country/GB/EN/disclosures



How does my company request an LEI?

- Companies contact their preferred business partner from the list of LEI issuing organizations also referred
 to as Local Operating Units (LOUs). There are 29 LOUs, around the world. Links to the LOUs are available
 at www.gleif.org.
- An LEI is usually issued within 24 to 48 hours of application. Initial registration costs are approximately \$150-200. Annual renewal at approximately half the initial registration cost is required.
- The LEI registration process requires the following information:

Entity Name and Legal Form

- Official Entity Name
- Legal Formation Country
- Legal Form

Headquarters Address

· Address of Headquarters

Business Registry

- Country of Business Registry
- Official Business Registry
- Official Business Registry Reference

Legal Formation Address

Address of Legal Formation

If you have any questions, please contact your onboarding or sales contact.

This summary is confidential and subject to important disclaimers and conditions including on offers for the purchase or sale of securities, accuracy and completeness of information, viruses, confidentiality, legal privilege, and legal entity disclaimers, available at https://www.jpmorgan.com/country/GB/EN/disclosures

(on Customer's letterhead)

Unclaimed Deposits: Common Claim Application Form

П	
	റ

Name of Custo Address: Mob. No. Date:	omer:	Email:	Pin:
Mob. No.		Email:	Pin:
		Email:	Pin:
		Email:	
Date:		•	
•			
N.A. acting through the nber and Draft") issued fustomer's request at has not been used by the land unique Depositive Bank of India's in accordance	by JPMorgan in favour of and the proced)] ³ /[Due to the Reference N ("RBI") Deposition 2	chase Bank N.A. ("Beneficial eds of the same (for non-operation fumber (UDRN) positor Education ("Bank N.A.")	hk")] ¹ /[demand draft fo A. acting through it ary").] ² funds bearing Unique of our Account, the sand Awareness Fundant
operate the A	ccount]/[use	the Demand	Draft] ⁵ due t
cord) mentioned as	s under [as we d Draft] ⁷ .	ell as the original	
	N.A. acting throughber Ind Draft'') issued fustomer's request of thas not been used to the series of the series o	N.A. acting through its branche nber Ind Draft") issued by JPMorgan fustomer's request in favour of It has not been used and the proce of the has not been used and the proce of the has not been used and the proce of the last of the proce of the last of the las	nd Draft") issued by JPMorgan Chase Bank N.A. Pustomer's request in favour of ("Beneficial than not been used and the proceeds of the same (IN))] ³ /[Due to non-operation ing Unique Deposit Reference Number (UDRN) are Bank of India's ("RBI") Depositor Education in accordance with section 26A of the Banking the dated Mar 21, 2014. [Soperate the Account]/[use the Demand stomer's KYC documents (original documents for cord) mentioned as under [as well as the original documents]

¹ To be included incase of unclaimed balances in current account transferred to DEAF

² To be included incase of unclaimed balances in demand draft transferred to DEAF ³ To be included incase of unclaimed balances in demand draft transferred to DEAF

⁴ To be included incase of unclaimed balances in current account transferred to DEAF

⁵ Insert as appropriate

⁶ To be included in cases where the Original Demand Draft is being returned ⁷ Insert as appropriate

⁸ Insert as appropriate

Please consider this Request Letter as a claim for refund of the funds, and transfer the refund amount to the Customer's account as given below:

Name of Bank:
Branch:
IFSC:
Account Number:
Account Name:

Declaration:

- We declare that the facts stated above are true and correct to the best of our knowledge and belief.
- We certify that the unclaimed balance as per details displayed on the website of the Bank belongs to the Customer and as authorized signatories of the Customer, we claim the amount on behalf of the Customer.
- We also understand that we will be required to procure and submit documents necessary to establish
 the Customer's claim till final settlement and also agree to execute the required documents to settle
 the claim
- We understand that claim will be settled post due diligence and authentication of documents and in subject to Bank's process & policy.

[Note: Applicable only for Demand Drafts wherein the original instrument has not been returned and no discharge letter/NOC is received from the beneficiary):

The above-mentioned Demand Draft has been irretrievably lost or mislaid, and therefore, we are unable to furnish the same to you for cancellation and refund of the abovementioned amount. In this regard, we hereby represent and warrant to the Bank that:

- 1. The Customer has not delivered the Demand Draft or any instrument thereto to any person other than the Beneficiary;
- 2. The Beneficiary has not presented the original Demand Draft for payment and has not endorsed the original Demand Draft in favor of any other person;
- 3. The Demand Draft has expired and has not been revalidated.
- 4. We shall inform the Beneficiary of cancellation of the Demand Draft to ensure that the same is not presented for payment, and we shall use our best-efforts to ensure that the Beneficiary destroys the said Demand Draft or submits the same to us and we undertake to return to the Bank, the original Demand Draft should it be found by us or again come into our possession, at any time hereafter.

In consideration of the Bank refunding the equivalent value of the Demand Draft to my account as instructed above (in the absence of the above original Demand Draft and Beneficiary's discharge letter), we hereby acknowledge, undertake and agree to hold the Bank, its officers, directors and agents harmless and indemnified from and against any and all claims, damages, demands, judgments, liabilities, losses, costs and expenses (including attorneys' fees) (collectively, "Losses") which the Bank may sustain or incur or which may be claimed against the Bank by reason of:

i. the original Demand Draft being, at any time now or hereafter, found and presented for payment; and/ or

Sincerely,	
For «CLIENTNAME»	
Authorized Signatory/ies	
Name:	
Title:	
	slip (to be filled in by Bank official)
Received a request from	
Bank: Branch:	Signature of Bank Official with Bank seal Date:

Any person or persons claiming to be the holders of the Demand Draft or in any way interested

ii.

therein.]⁹

⁹ Applicable only for Demand Drafts wherein the original instrument has not been returned and no discharge letter/NOC is received from the beneficiary